

A numerical study: Revealing the 3D structure of
microbunched laser-wakefield-accelerated electrons by
Coherent Transition Radiation

Ze Ouyang

Jun. 20th, 2024

Content

1 Introduction

2 Review of theory of transition radiation

3 Bunch duration, phase delay effect, phase ambiguity &
spectrum in TR images

4 Revealing 3D e- bunch info by CTR

5 Conclusion

(Journal Club)

Reconstructing 3D structure of microbunched electrons from plasma
wakefield based on coherent optical transition radiation¹

Ze Ouyang
Feb 29th, 2024

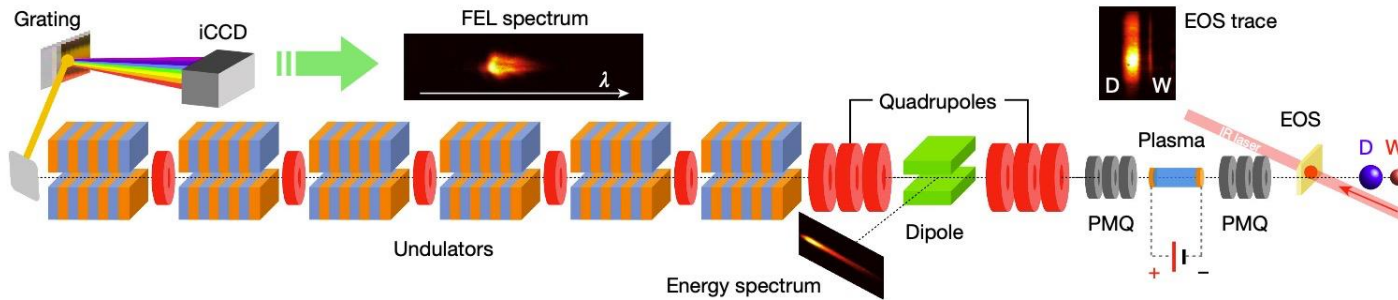
A preliminary study on Transition Radiation¹ &
Talk with Prof. Downer

Ze Ouyang
Apr 29th, 2024

Introduction

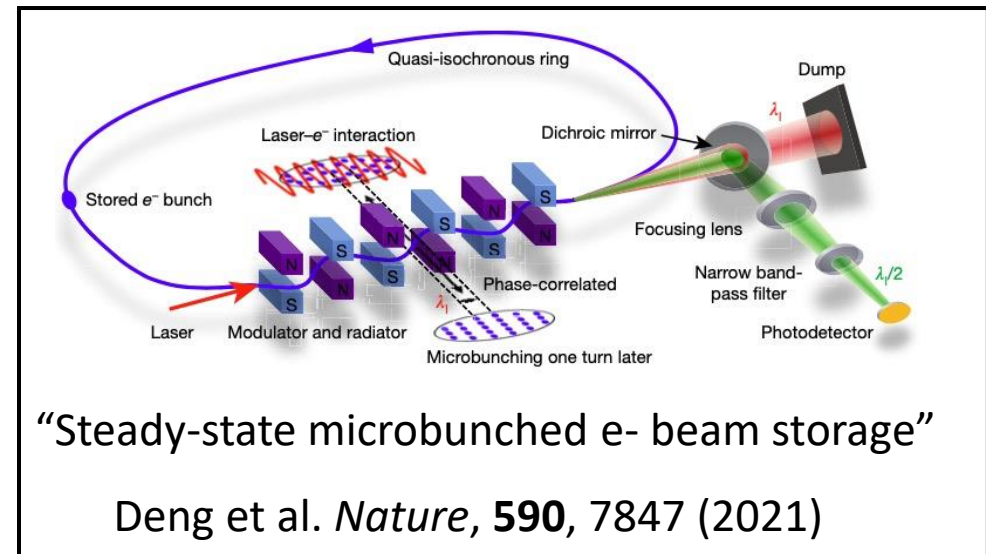
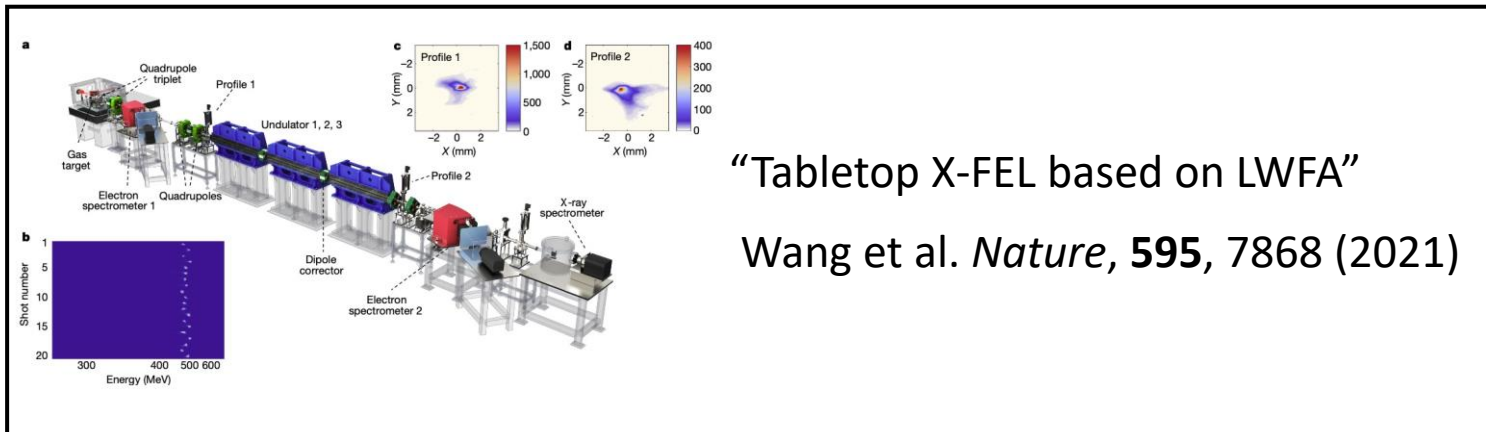
Knowing the 3D structures of microbunched e- beam is crucial for:

1. Understanding the physics of LWFA & PWFA
2. Optimizing the e- beam quality (emittance, energy spread, size)
3. Generating coherent radiation (Synchrotron radiation, secondary radiations & X-FEL)



“Tabletop X-FEL based on PWFA”

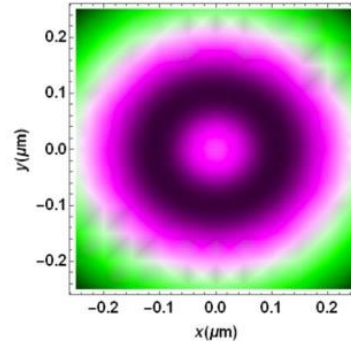
Pompili et al. *Nature*, **605**, 7911 (2022)



Introduction¹

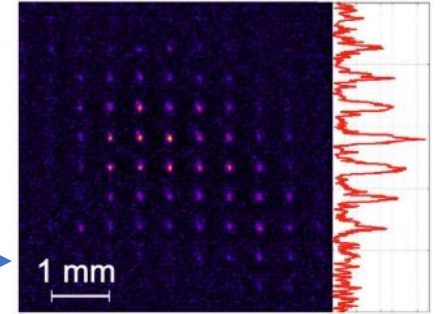
Ways to measure the transverse profile:

1. Radiation-based imaging (TR, SPR, Betatron R)
2. Scintillating screens (phosphor screens)
3. Focus-scans
4. Pepper-pot mask
5. ...



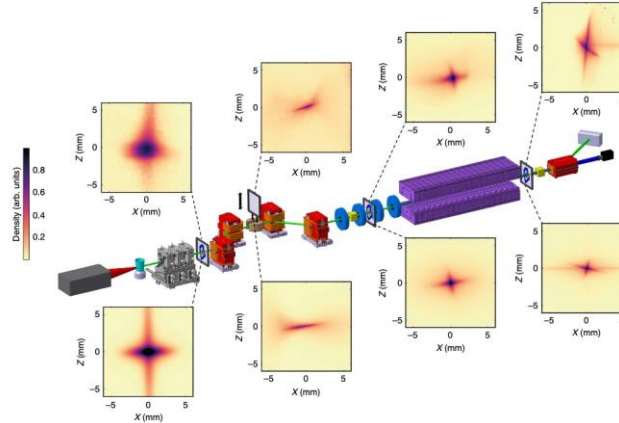
Curcio et al. *Appl. Phys. Lett.*,
111, 133105 (2017)

Brunetti et al. *PRL*,
105, 215007 (2010)



Ways to measure the longitudinal profile:

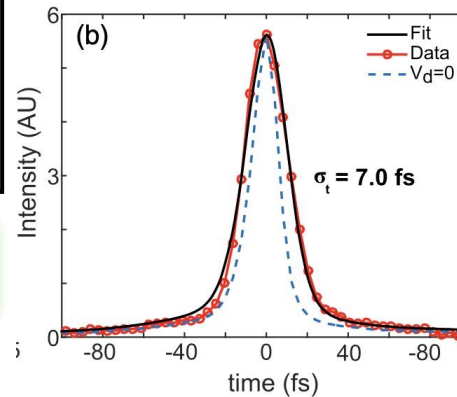
1. Streak cameras
2. Electro-Optic sampling
3. RF deflecting cavities
4. Radiation spectrum
5. ...



Andre et al. *Nat. Commun.*,
9, 1334 (2018)

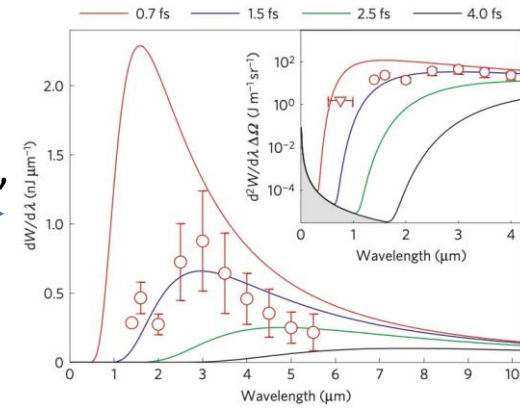
1. LWFA ($\lambda_p \geq 10\mu\text{m}$)
2. FEL

Microbunched e- beam have much smaller duration.



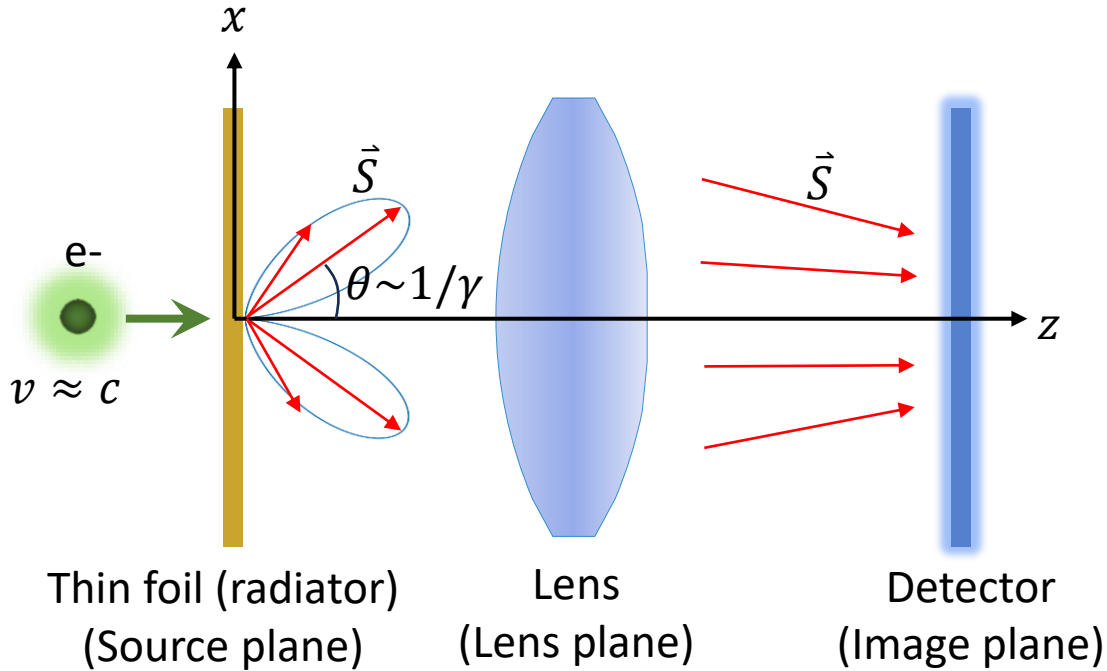
Lundh et al. *Nat. Phys.*,
7, 3 (2011)

Maxson et al. *PRL*,
118, 154802 (2017)



¹ Downer et al. *RMP*, **90**, 035002 (2018)

Generation of Transition Radiation: single e-



With k (or λ), M , γ , and θ_m given, we can calculate the theoretical distribution of FPSF (x_d, y_d) and PSF (x_d, y_d) on the image plane.

E field on the image plane¹:

$$\underline{\mathbf{E}}_x(x_d, y_d) = \frac{2qk}{Mv} f(\theta_m, \gamma, \zeta) \cos(\varphi) \mathbf{e}_x$$

Field PSF, FPSF (x_d, y_d)

$$\underline{\mathbf{E}}_y(x_d, y_d) = \frac{2qk}{Mv} f(\theta_m, \gamma, \zeta) \sin(\varphi) \mathbf{e}_y$$

FPSF (x_d, y_d)

where $f(\theta_m, \gamma, \zeta) = \int_0^{\theta_m} \frac{\theta^2}{\theta^2 + \gamma^{-2}} J_1(\zeta\theta) d\theta$, $\zeta = \frac{kr_d}{M}$, $r_d = \sqrt{x_d^2 + y_d^2}$, M

is the magnification, $\tan\varphi = \frac{y_d}{x_d}$, θ_m is the acceptance angle of the lens

(or N.A.); $f(\theta_m, \gamma, \zeta) \approx \zeta^{-1} (\gamma^{-1} \zeta K_1(\gamma^{-1} \zeta) - J_0(\zeta\theta_m))$ if $\theta_m \gg \frac{1}{\gamma}$.²

The Poynting vector is

$$S(x_d, y_d, \omega) = \frac{c}{4\pi^2} \left(|\mathbf{E}_x(x_d, y_d)|^2 + |\mathbf{E}_y(x_d, y_d)|^2 \right) = \frac{d^3 I_1}{d\omega dx_d dy_d}$$

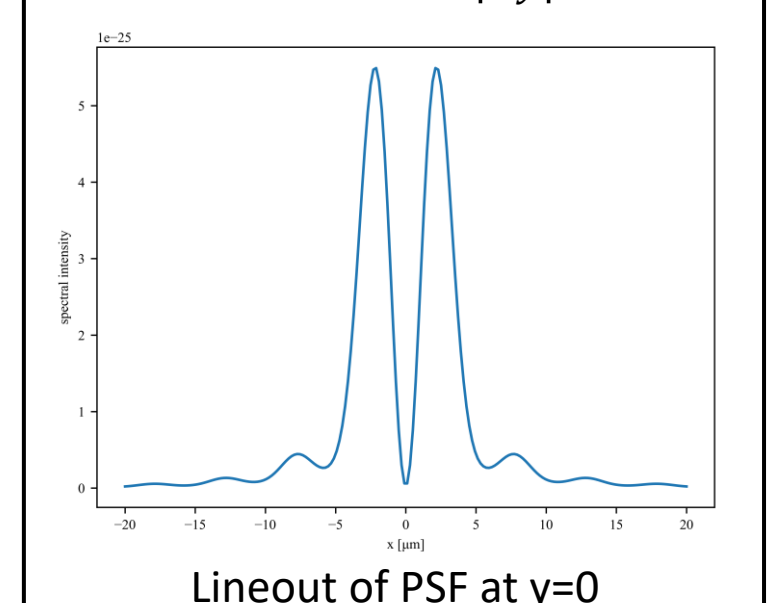
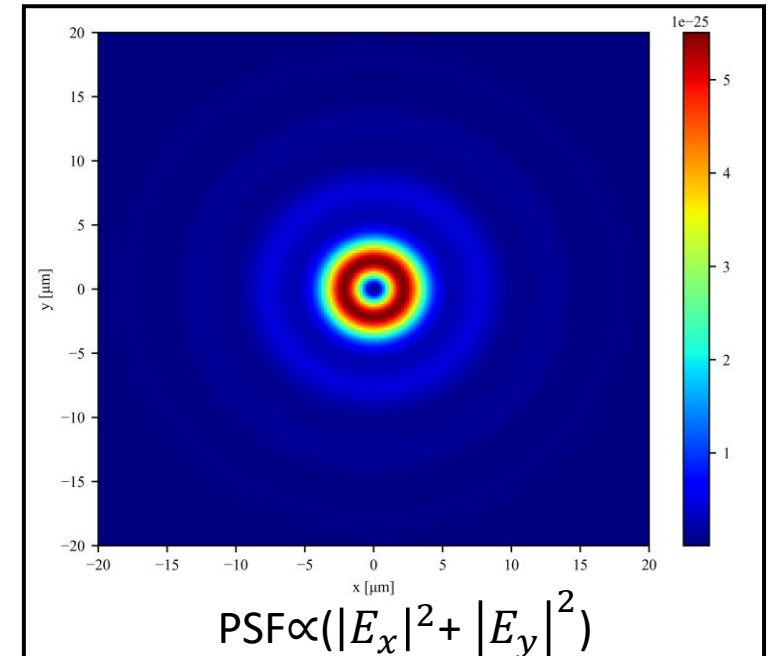
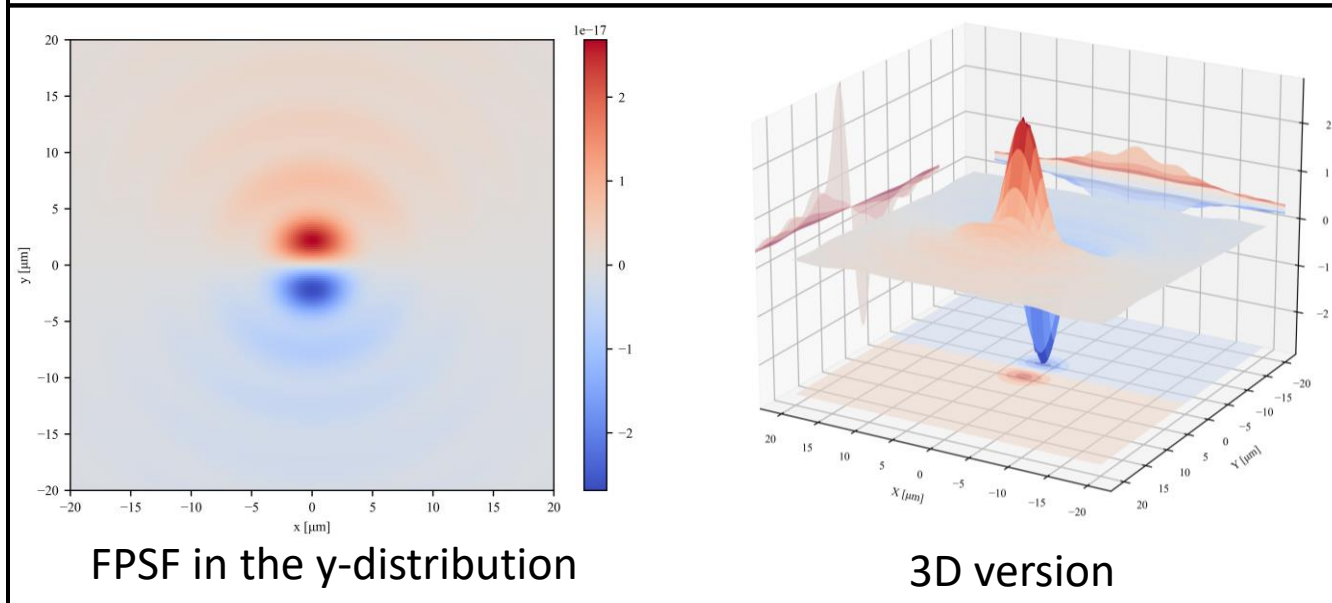
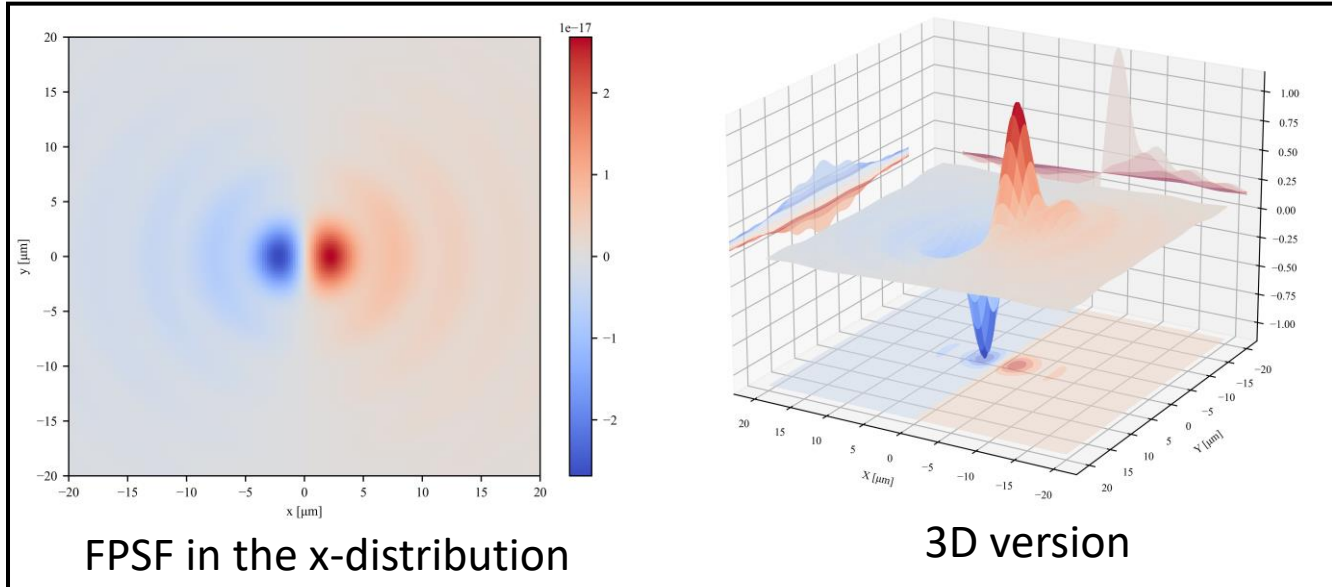
which is also known as **Point Spread Function**, PSF (x_d, y_d) .

¹ Castellano et al. *PRST-AB*, **1**, 062801 (1998)

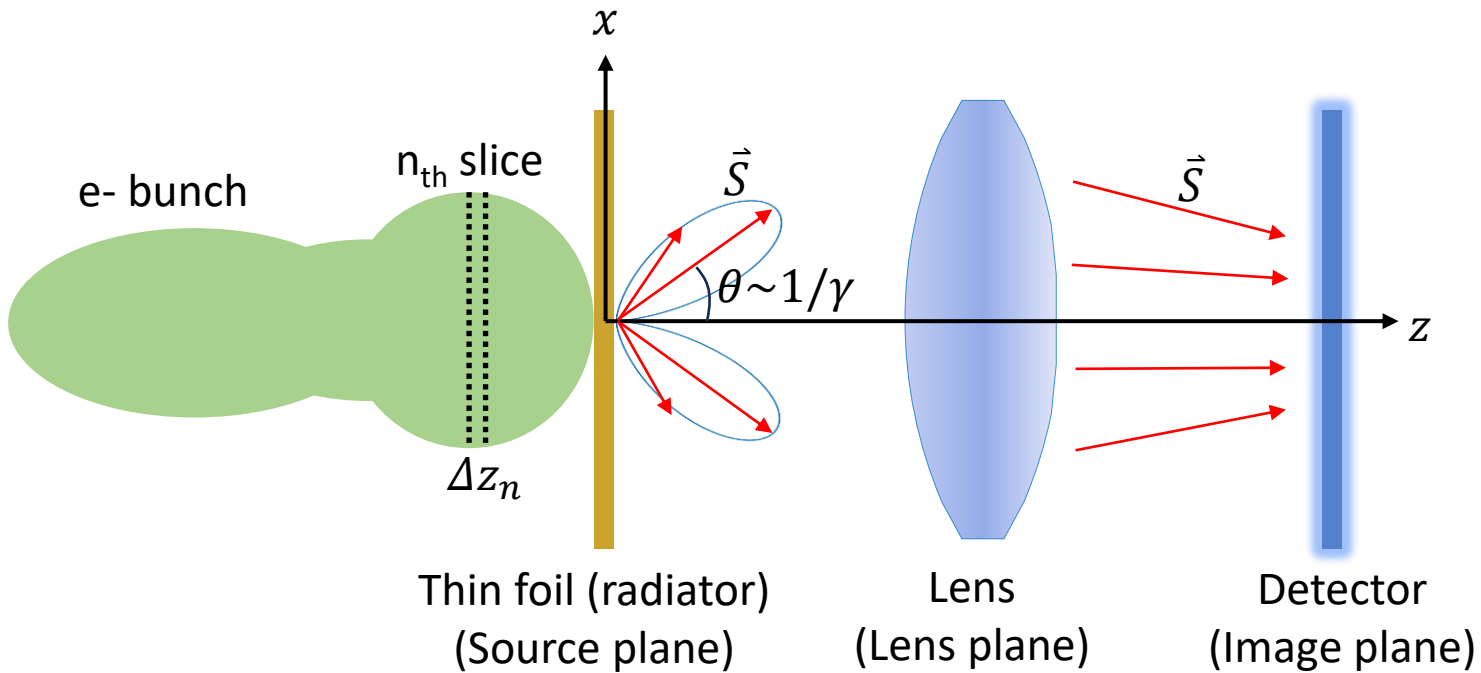
² Xiang et al. *Nucl. Instrum. Meth. A* **570**, 3 (2007)

Generation of Transition Radiation: single e-

$\lambda=500\text{nm}$, $M=1$, $\gamma=391(200\text{MeV})$, and $\theta_m=0.1$



Generation of Transition Radiation: e- bunch $\rho(x_s, y_s, z_s)$

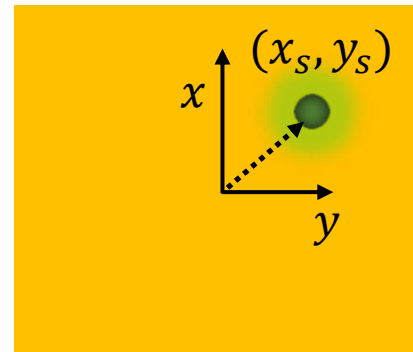


⇒ To obtain \mathbf{E}_{tot}

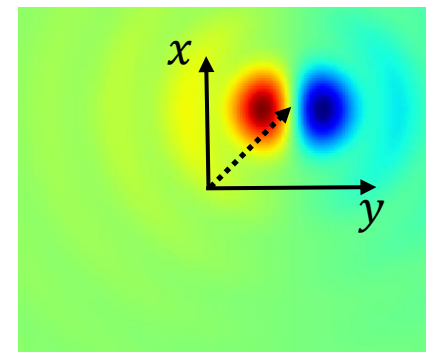
Remark 1:

$\rho(x_s, y_s, z_s)$ gives the number density of electrons in the beam, so $N = \iiint \rho(x_s, y_s, z_s) dx_s dy_s dz_s$ gives the total number of electron.

Remark 2:



foil plane



FPSF_x on the image plane will be adjusted to FPSF_x($x_d - x_s, y_d - y_s$)

The \mathbf{E} field given by the n_{th} slice is

$$\mathbf{E}(x_d, y_d) = \mathbf{E}_x^{(n)}(x_d, y_d) + \mathbf{E}_y^{(n)}(x_d, y_d)$$

$$= \Delta z_n \iint dx_s dy_s \rho(x_s, y_s, z_n) \cdot (\text{FPSF}_x(x_d - x_s, y_d - y_s) + \text{FPSF}_y(x_d - x_s, y_d - y_s))$$

of e- in the slice

Generation of Transition Radiation: e- bunch $\rho(x_s, y_s, z_s)$

- For each slice, there is a phase delay $\exp(-ik\Delta z_n)$, relative to the leading portion of the bunch. Therefore, the total \mathbf{E} field is given by

$$\mathbf{E}_{\text{tot}}(x_d, y_d) = \underbrace{\iiint dx_s dy_s dz_s \cdot \rho(x_s, y_s, z_s)}_{\text{Number of electrons}} \cdot \underbrace{\cos(k(z_s - z_u))}_{\text{Phase delay}} \cdot \underbrace{\left(\text{FPSF}_x(x_d - x_s, y_d - y_s) + \text{FPSF}_y(x_d - x_s, y_d - y_s) \right)}_{\text{Field translation}}$$

- It is the $|\mathbf{S}|$ rather than \mathbf{E} field that the detector records, therefore, the total energy spectral is given by

$$S_{\text{tot}}(x_d, y_d) = \frac{c}{4\pi^2} |\mathbf{E}_{\text{tot}}(x_d, y_d)|^2$$

- After simplification, this leads to

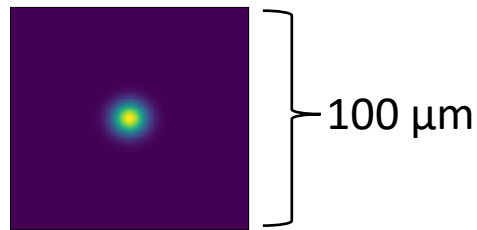
$$S_{\text{tot}}(x_d, y_d) = \frac{c}{4\pi^2} \left(\left| \iiint dx_s dy_s dz_s \cdot \rho(x_s, y_s, z_s) \cdot \cos(k(z_s - z_u)) \text{FPSF}_x(x_d - x_s, y_d - y_s) \right|^2 + \left| \iiint dx_s dy_s dz_s \cdot \rho(x_s, y_s, z_s) \cdot \cos(k(z_s - z_u)) \text{FPSF}_y(x_d - x_s, y_d - y_s) \right|^2 \right)$$

Simulation of Transition Radiation: different e- bunch duration

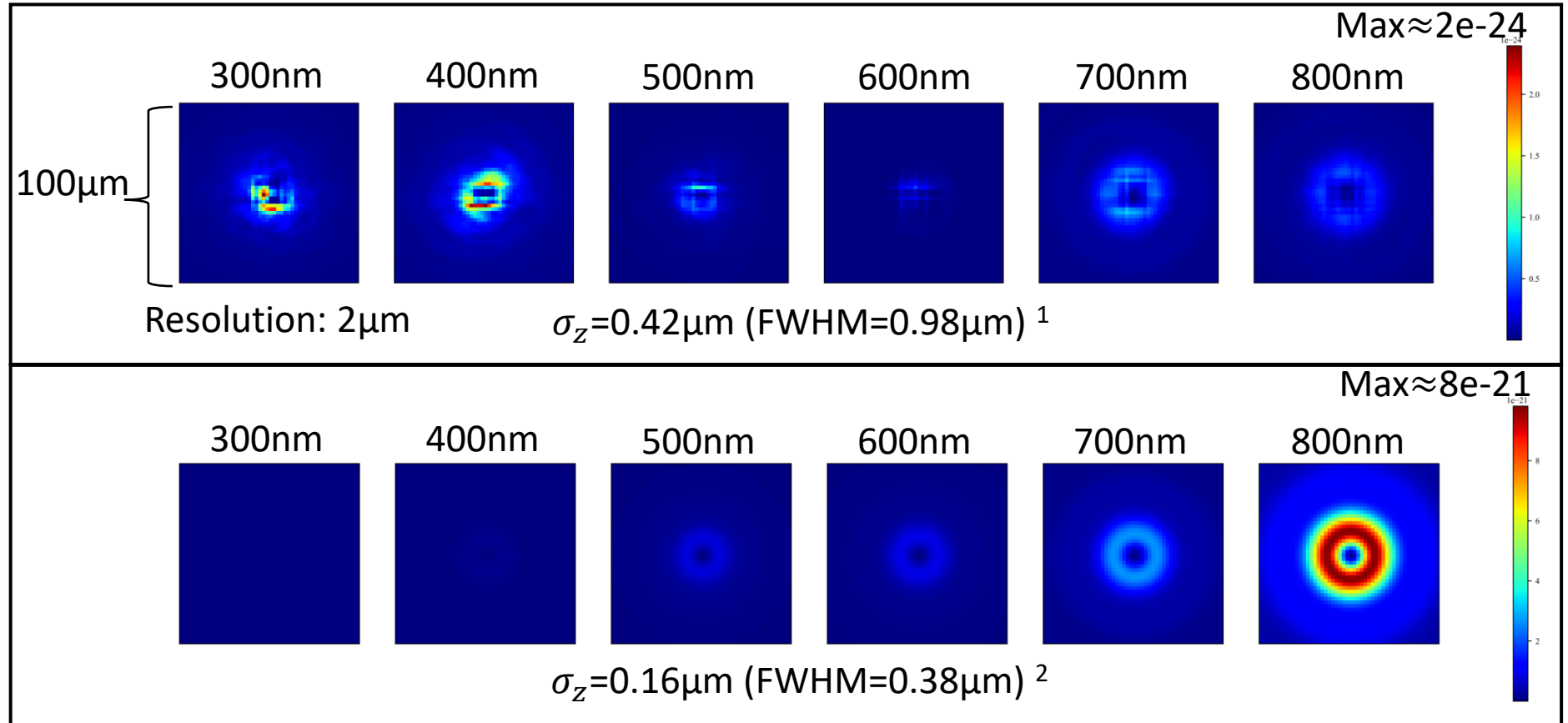
Set $M=10$, $\theta_m=0.28$, $\gamma=391(200\text{MeV})$;

Set e- bunch:
$$\rho(x_s, y_s, z_s) = N_e \frac{1}{\sqrt{2\pi}\sigma_x} \exp\left(-\frac{(x-\mu_x)^2}{2\sigma_x^2}\right) \frac{1}{\sqrt{2\pi}\sigma_y} \exp\left(-\frac{(y-\mu_y)^2}{2\sigma_y^2}\right) \frac{1}{\sqrt{2\pi}\sigma_z} \exp\left(-\frac{(z-\mu_z)^2}{2\sigma_z^2}\right)$$

Params	Value
N_e	1e9
μ_x	0 μm
σ_x	5 μm
μ_y	0 μm
σ_y	5 μm
μ_z	0 μm
σ_z	0.42 or 0.16 μm



e- bunch in x-y plane

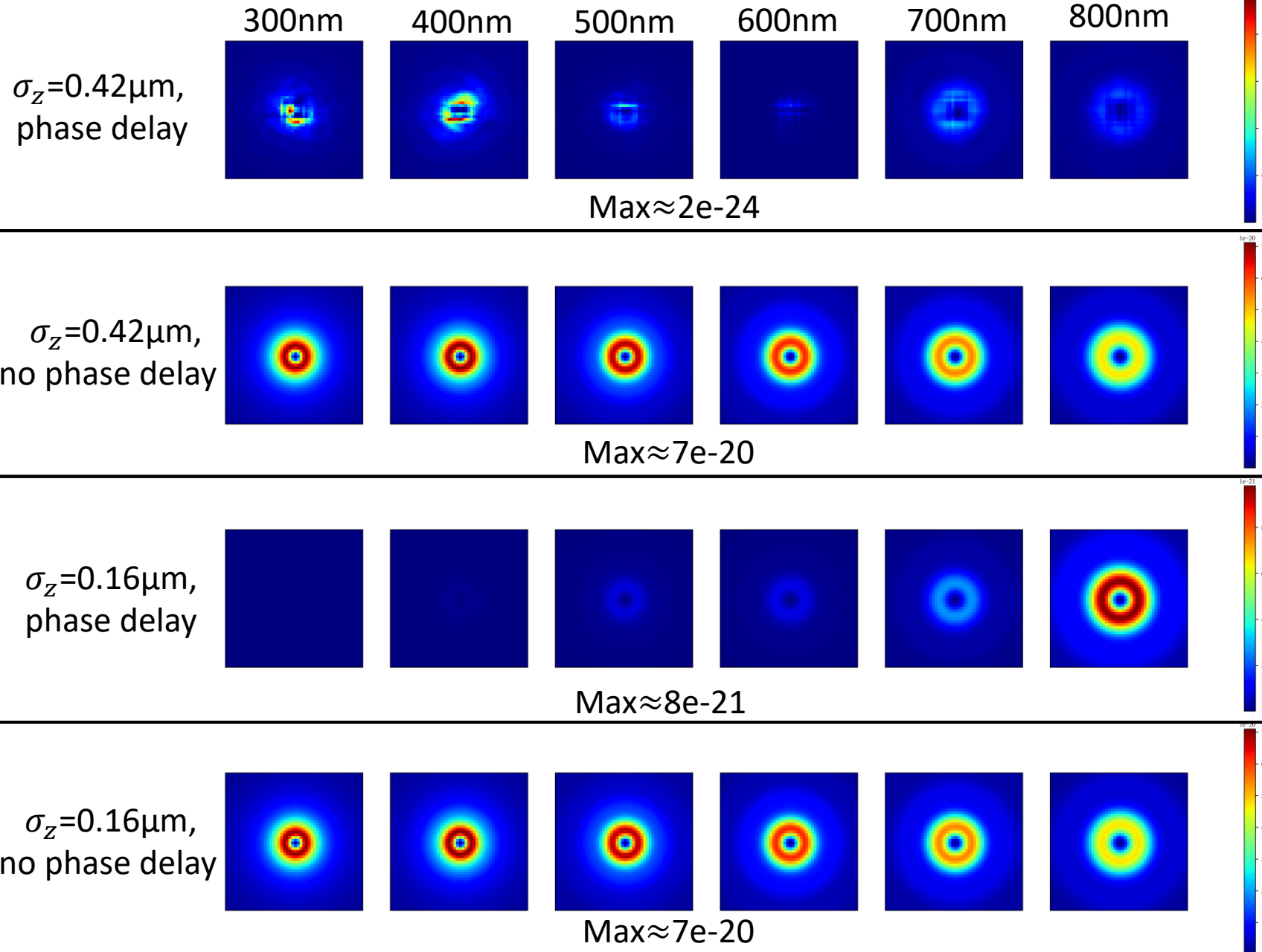


Because of the phase delay effect, only radiation with $\lambda_{\text{rad}} > \sigma_z$ is **likely** to be coherent.

¹ Lundh et al. *Nat.Phys*, **7**, 3 (2011)

² LaBerge et al. <https://www.researchsquare.com/article/rs-3894996/v1>

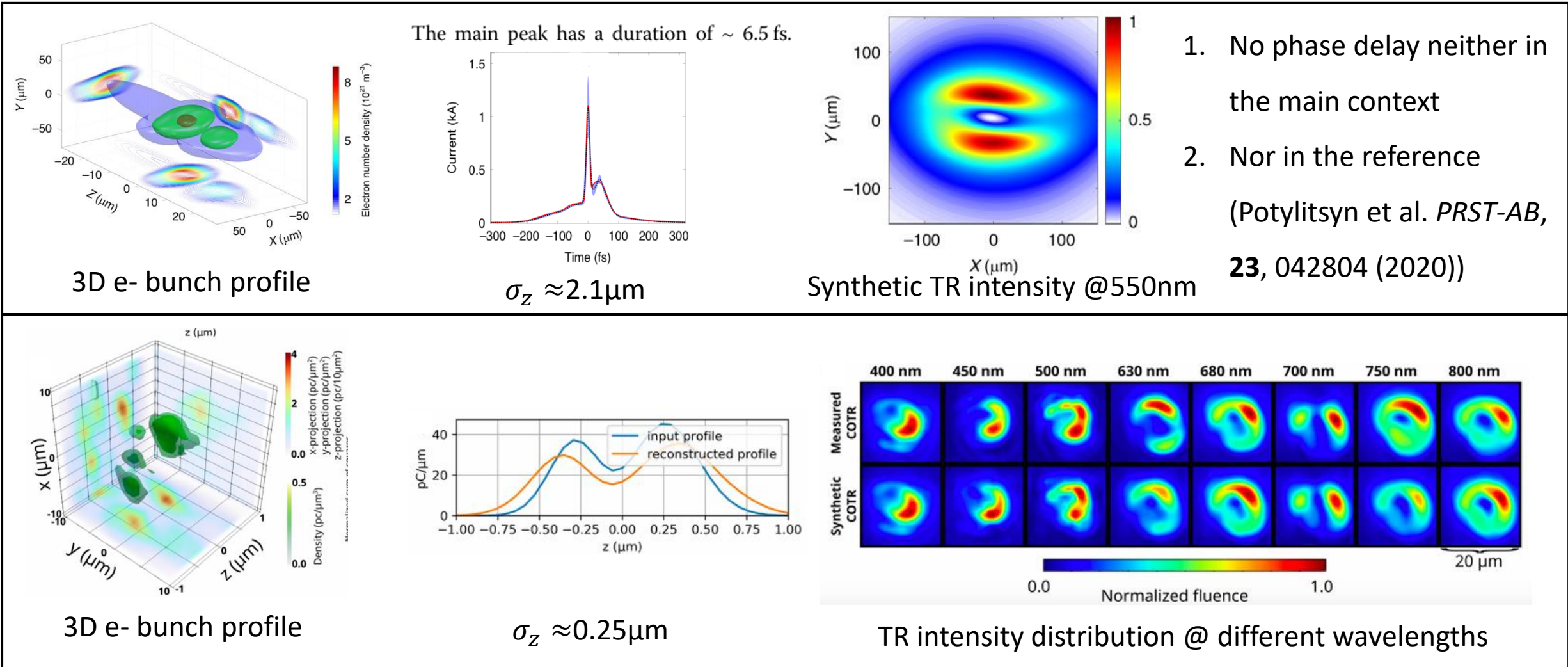
Simulation of Transition Radiation: phase delay



Comments:

1. An important factor to determine the TR intensity when $\lambda_{\text{rad}} < \sigma_z$, or say in incoherent situation
2. Given the fact that e- bunch duration can go down to $\sim 100\text{nm}$ (from LWFA or FEL), it is also important in coherent situation with λ_{rad} in the optical range

Latest Results in this field^{1,2}



1 Huang et al. *Light sci.appl*, **13**, 1 (2024)

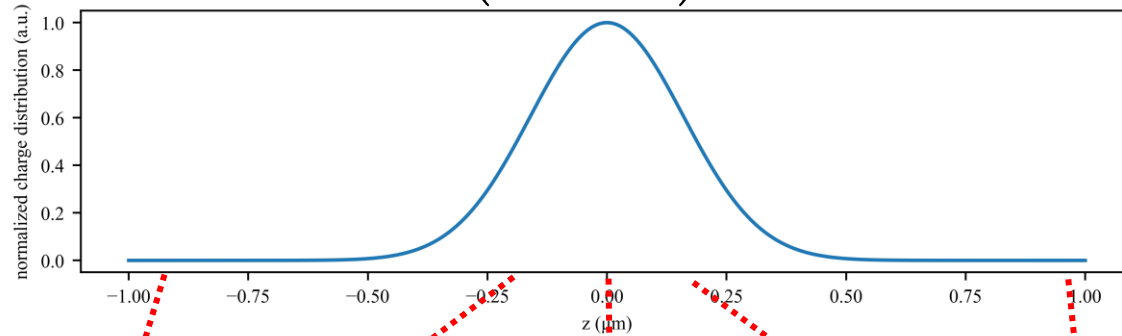
2 LaBerge et al. <https://www.researchsquare.com/article/rs-3894996/v1> (2024)

Simulation of Transition Radiation: initial phase position

Set $M=10$, $\theta_m=0.28$, $\gamma=391$ (200MeV);

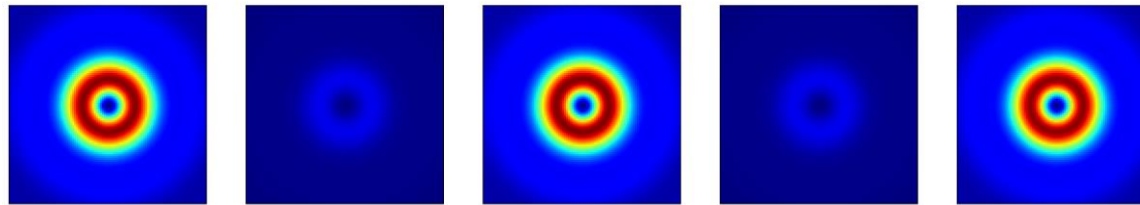
Set e- bunch:
$$\rho(x_S, y_S, z_S) = N_e \frac{1}{\sqrt{2\pi}\sigma_x} \exp\left(-\frac{(x-\mu_x)^2}{2\sigma_x^2}\right) \frac{1}{\sqrt{2\pi}\sigma_y} \exp\left(-\frac{(y-\mu_y)^2}{2\sigma_y^2}\right) \frac{1}{\sqrt{2\pi}\sigma_z} \exp\left(-\frac{(z-\mu_z)^2}{2\sigma_z^2}\right)$$

Params	Value
N_e	1e9
μ_x	0 μm
σ_x	5 μm
μ_y	0 μm
σ_y	5 μm
μ_z	0 μm
σ_z	0.16 μm



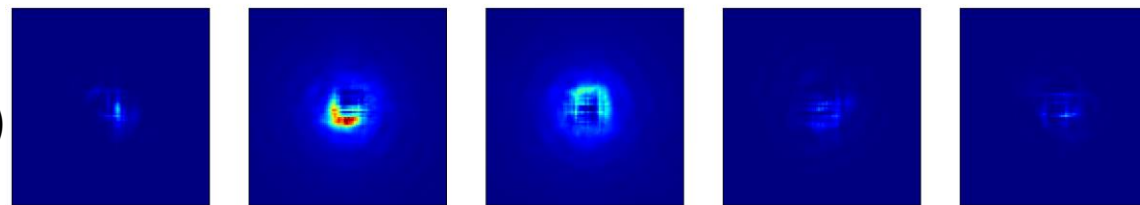
Initial phase at: $z=-0.8\mu\text{m}$ $z=-0.16\mu\text{m}$ $z=0\mu\text{m}$ $z=0.16\mu\text{m}$ $z=0.8\mu\text{m}$

$\lambda_{\text{rad}}=800\text{nm}$
(coherent)

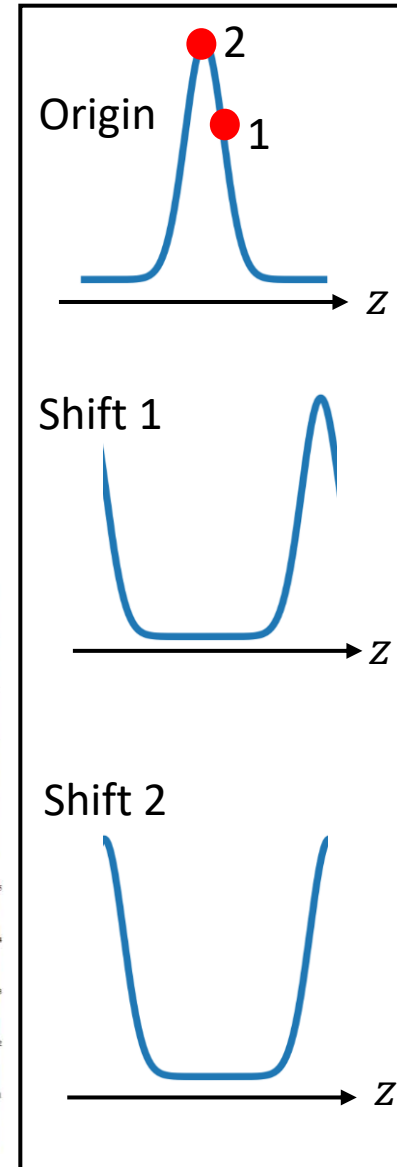


Max $\approx 8\text{e-}21$

$\lambda_{\text{rad}}=300\text{nm}$
(incoherent)



Max $\approx 5\text{e-}24$



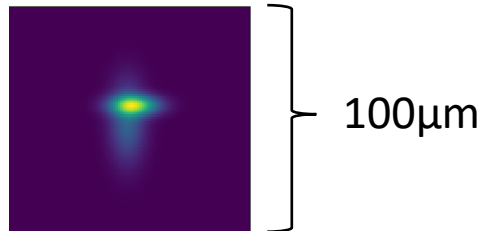
Phase info is inherently ambiguous?

Simulation of Transition Radiation: phase ambiguity

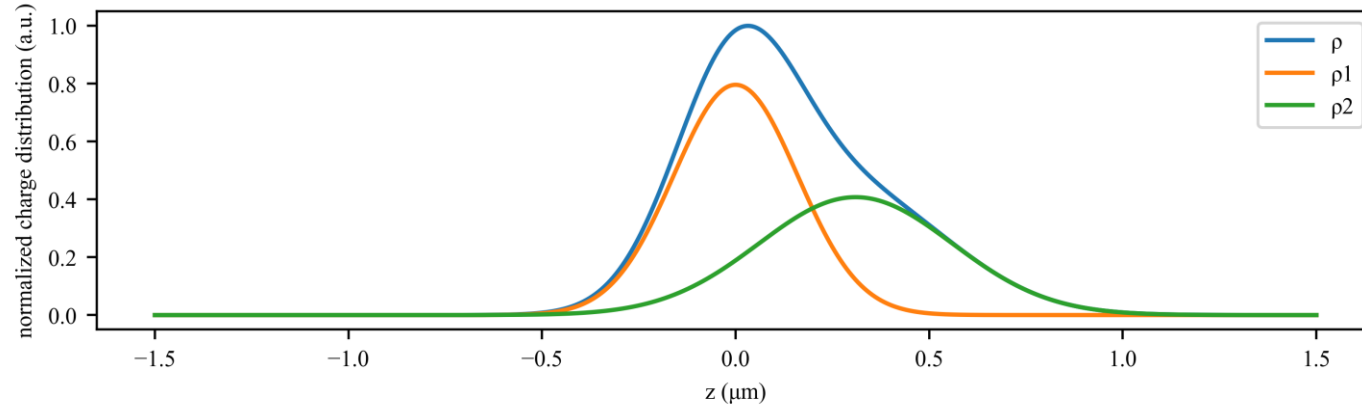
Set $M=10$, $\theta_m=0.28$, $\gamma=391$ (200MeV);

Set e- bunch:
$$\rho(x_s, y_s, z_s) = \sum_{i=1}^2 N_{ei} \frac{1}{\sqrt{2\pi}\sigma_{x_i}} \exp\left(-\frac{(x_i-\mu_{x_i})^2}{2\sigma_{x_i}^2}\right) \frac{1}{\sqrt{2\pi}\sigma_{y_i}} \exp\left(-\frac{(y_i-\mu_{y_i})^2}{2\sigma_{y_i}^2}\right) \frac{1}{\sqrt{2\pi}\sigma_{z_i}} \exp\left(-\frac{(z_i-\mu_{z_i})^2}{2\sigma_{z_i}^2}\right)$$

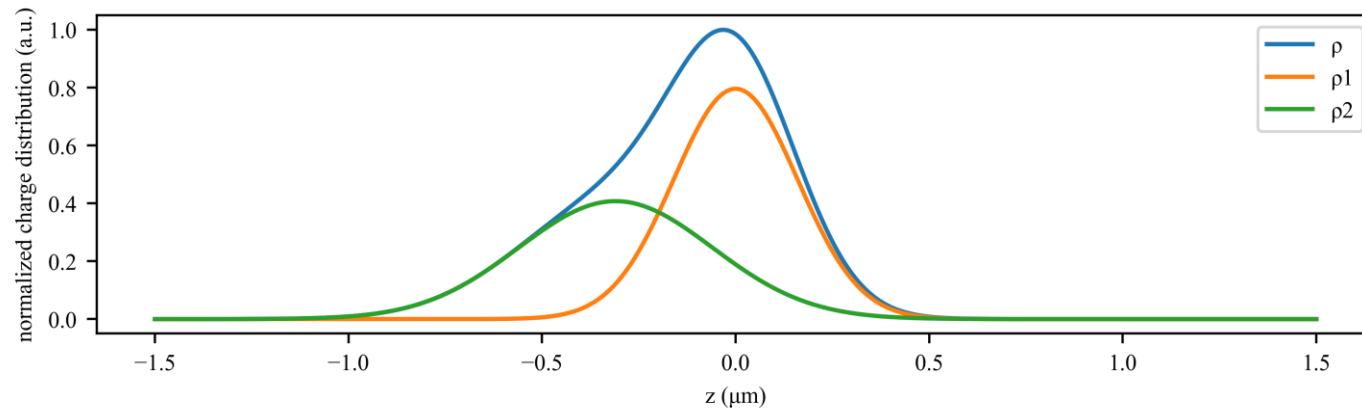
Params	ρ_1	ρ_2
N_e	1e9	0.8e9
μ_x	0 μm	3 μm
σ_x	5 μm	7 μm
μ_y	0 μm	7 μm
σ_y	12 μm	3 μm
μ_z	0 μm	$\pm 0.31\mu\text{m}$
σ_z	0.16 μm	0.25 μm



e- bunch transverse profile

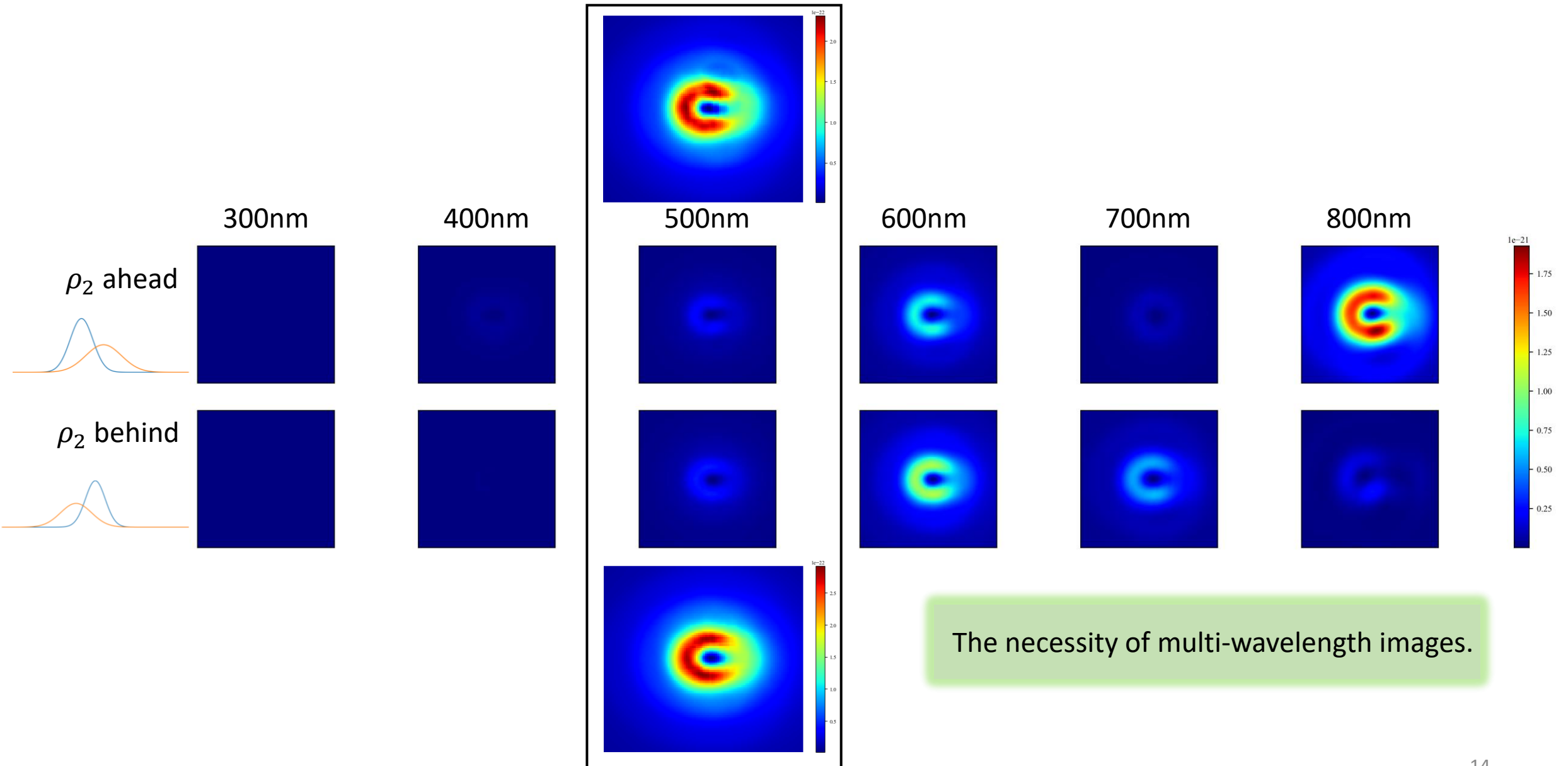


ρ_2 ahead



ρ_2 behind

Simulation of Transition Radiation: phase ambiguity

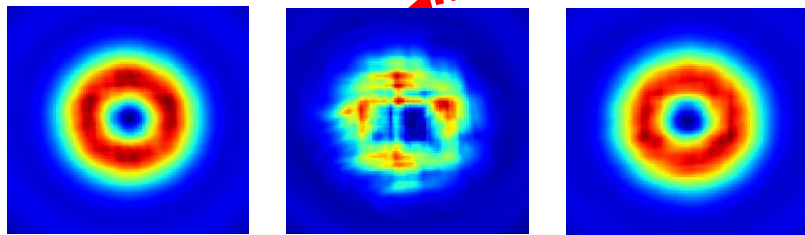
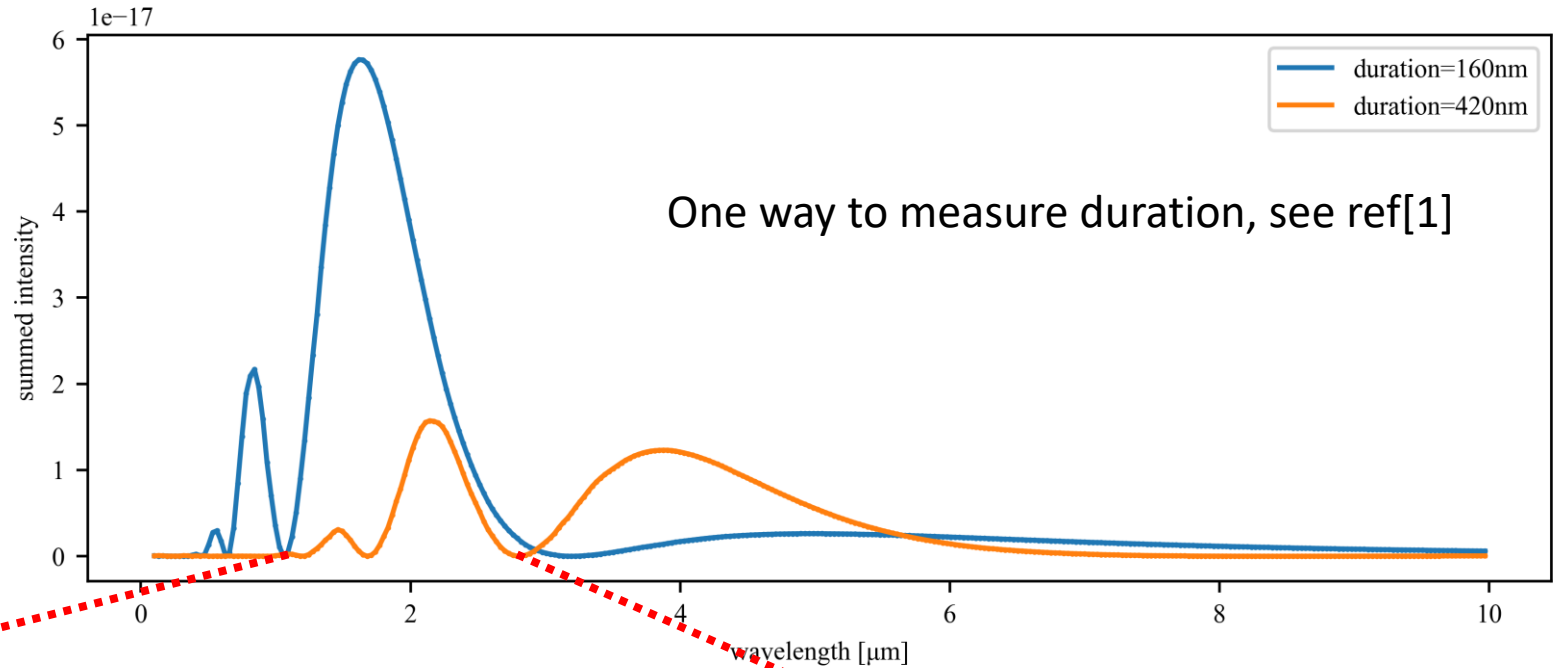


Simulation of Transition Radiation: intensity spectrum

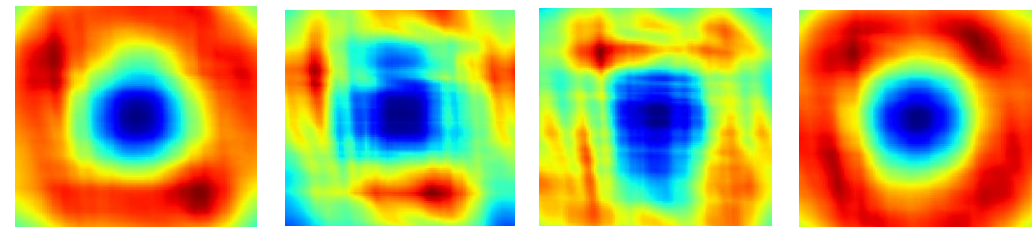
Set $M=10$, $\theta_m=0.28$, $\gamma=391$ (200MeV);

Set e- bunch: $\rho(x_S, y_S, z_S) = N_e \frac{1}{\sqrt{2\pi}\sigma_x} \exp\left(-\frac{(x-\mu_x)^2}{2\sigma_x^2}\right) \frac{1}{\sqrt{2\pi}\sigma_y} \exp\left(-\frac{(y-\mu_y)^2}{2\sigma_y^2}\right) \frac{1}{\sqrt{2\pi}\sigma_z} \exp\left(-\frac{(z-\mu_z)^2}{2\sigma_z^2}\right)$

Params	Value
N_e	1e9
μ_x	0 μ m
σ_x	5 μ m
μ_y	0 μ m
σ_y	5 μ m
μ_z	0 μ m
σ_z	0.16 μ m or 0.42 μ m



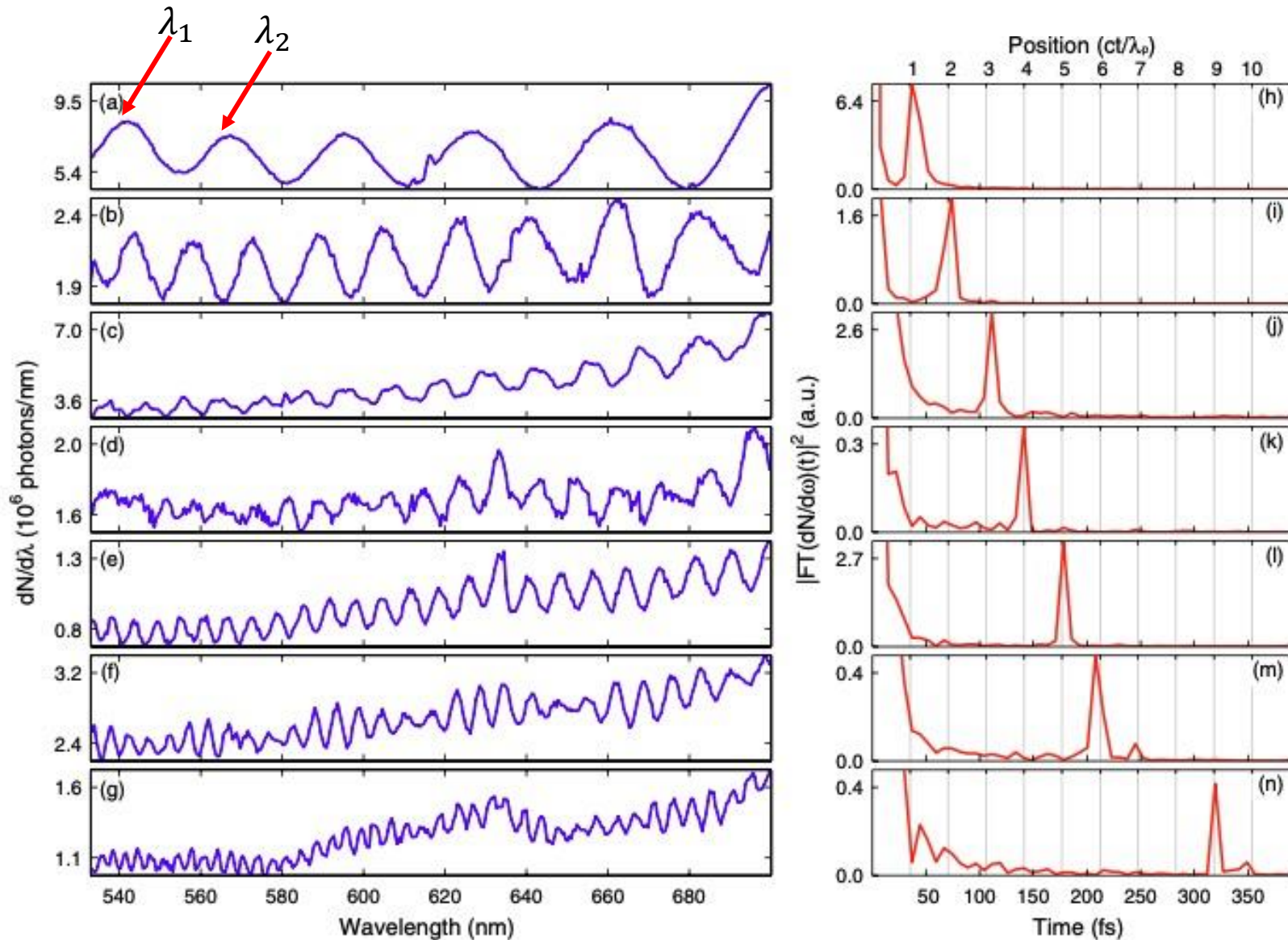
$\lambda=1030\text{nm}$ $\lambda=1060\text{nm}$ $\lambda=1090\text{nm}$



$\lambda=2760\text{nm}$ $\lambda=2792\text{nm}$ $\lambda=2823\text{nm}$ $\lambda=2853\text{nm}$

Incoherence occurs periodically even at $\lambda_{\text{rad}} \gg \sigma$

Simulation of Transition Radiation: intensity spectrum¹



$$\frac{L}{\lambda_1} - \frac{L}{\lambda_2} = 1$$

$$\Rightarrow L = \frac{\lambda^2}{\Delta\lambda}$$

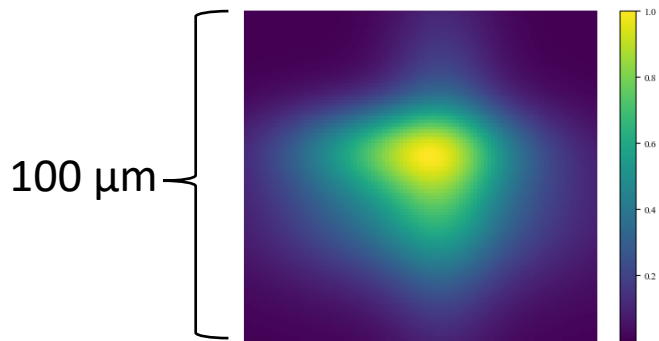
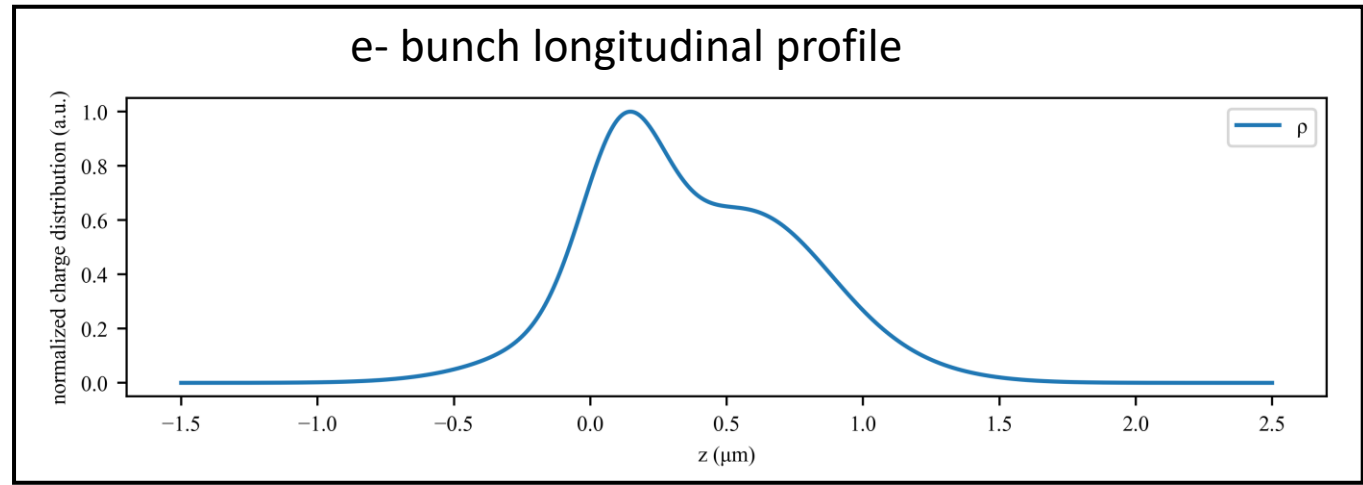
“electron bunch train”

Reconstruction of the e- beam: "Measured COTR"

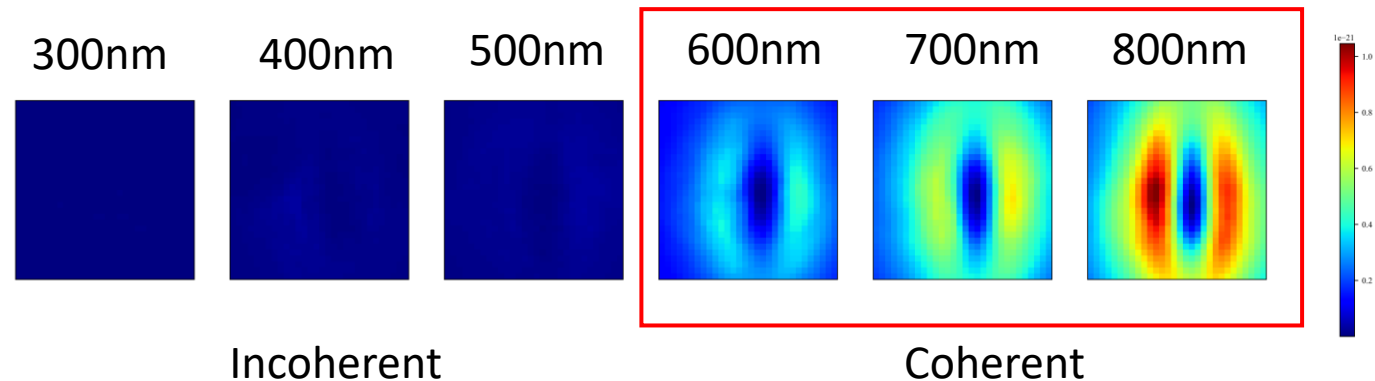
Set $M=10$, $\theta_m=0.28$, $\gamma=391$ (200MeV);

Set e- bunch:
$$\rho(x_s, y_s, z_s) = \sum_{i=1}^4 N_{e_i} \frac{1}{\sqrt{2\pi}\sigma_{x_i}} \exp\left(-\frac{(x_i-\mu_{x_i})^2}{2\sigma_{x_i}^2}\right) \frac{1}{\sqrt{2\pi}\sigma_{y_i}} \exp\left(-\frac{(y_i-\mu_{y_i})^2}{2\sigma_{y_i}^2}\right) \frac{1}{\sqrt{2\pi}\sigma_{z_i}} \exp\left(-\frac{(z_i-\mu_{z_i})^2}{2\sigma_{z_i}^2}\right)$$

Params	ρ_1	ρ_2	ρ_3	ρ_4
N_e	1e9	0.7e9	0.5e9	1.5e9
μ_x	3 μm	-7 μm	-12 μm	9 μm
σ_x	34 μm	15 μm	18 μm	9 μm
μ_y	6 μm	-3 μm	4 μm	-4 μm
σ_y	11 μm	25 μm	34 μm	23 μm
μ_z	0.12 μm	0.63 μm	0.78 μm	0.29 μm
σ_z	0.15 μm	0.25 μm	0.35 μm	0.4 μm



e- bunch transverse profile



Reconstruction of the e- beam: Nonlinear least square fitting

1. **Forget e- bunch info in last page**

2. Set $M=10$, $\theta_m=0.28$, $\gamma=391(200\text{MeV})$

3. Presume e- bunch: $\rho(x_s, y_s, z_s) = \sum_{i=1}^6 N_{e_i} \frac{1}{\sqrt{2\pi}\sigma_{x_i}} \exp\left(-\frac{(x_i-\mu_{x_i})^2}{2\sigma_{x_i}^2}\right) \frac{1}{\sqrt{2\pi}\sigma_{y_i}} \exp\left(-\frac{(y_i-\mu_{y_i})^2}{2\sigma_{y_i}^2}\right) \frac{1}{\sqrt{2\pi}\sigma_{z_i}} \exp\left(-\frac{(z_i-\mu_{z_i})^2}{2\sigma_{z_i}^2}\right)$

4. Randomly set these 42 parameters, then generate $COTR_{\text{fitted}}$ at $\lambda=600\text{nm}$, 700nm , and 800nm

5. To minimize the cost function or objective function¹:

$$\Phi(x, y) = \frac{1}{2} \|COTR_{\text{measured}}(x, y) - COTR_{\text{fitted}}(x, y)\|^2 \cdot W(x, y)$$

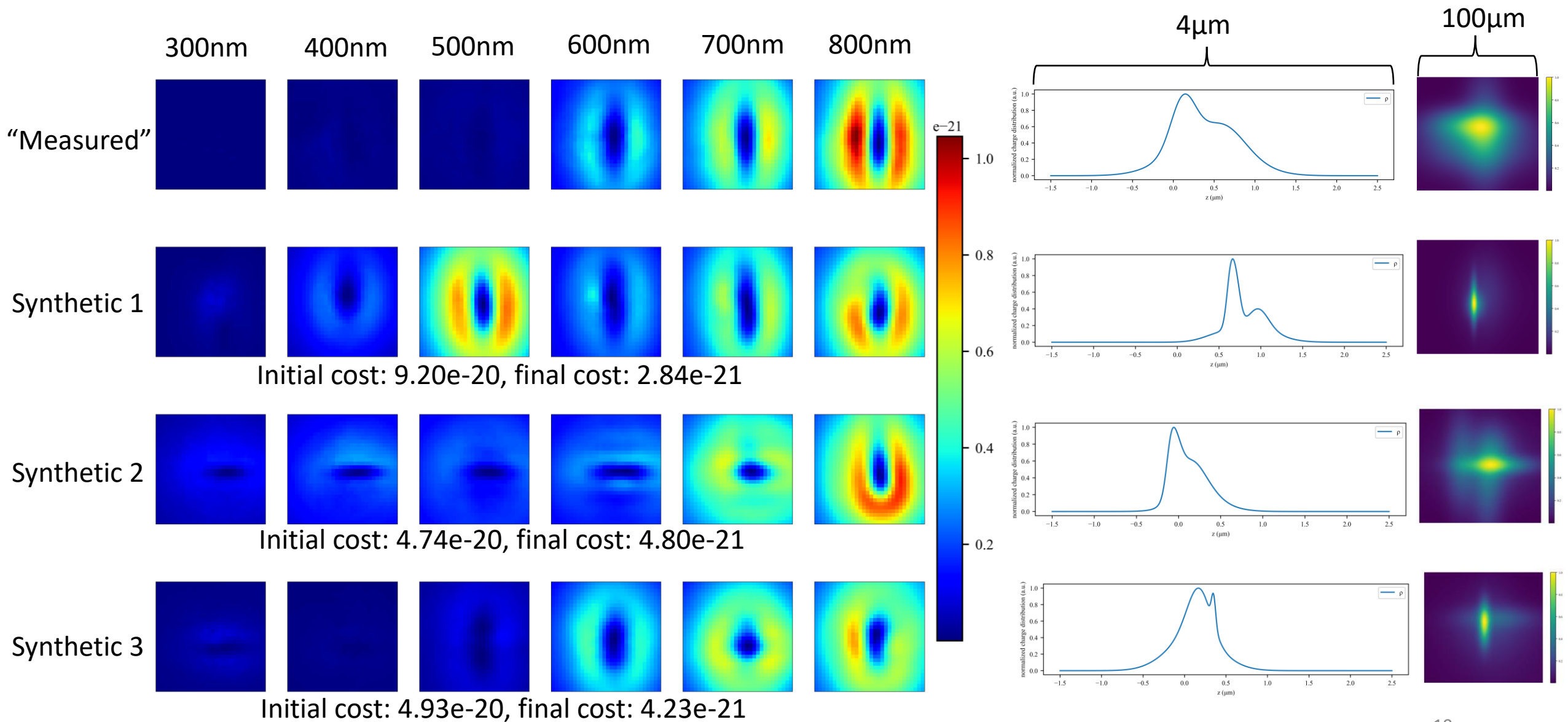
The minimization will stop when

- 1) A minimum has been found within the user-defined precision (10^{-8}), OR
- 2) A user-defined maximum number of iteration has been reached (50)

Params	ρ_i
N_e	(0.5e9, 1e9)
μ_x	(-20 μm , 20 μm)
σ_x	(1 μm , 30 μm)
μ_y	(-20 μm , 20 μm)
σ_y	(1 μm , 30 μm)
μ_z	(0, 400 μm)
σ_z	(0.1 μm , 0.3 μm)

¹<https://www.gnu.org/software/gsl/doc/html/nls.html#overview>

Reconstruction of the e- beam: Synthetic COTR images



Reconstruction of the e- beam: Synthetic COTR images

What if the longitudinal or transverse profile is known?